

# SEMINAR: Elliptic boundary value problems and applications in geometry

Prof. Dr. Thomas Walpuski

SS 2023

This seminar meets every **Friday 11:15–13:00** during the summer semester 2023 in **2.006**. If you have any questions, contact me at [thomas.walpuski@hu-berlin.de](mailto:thomas.walpuski@hu-berlin.de). Please, sign up for the Moodle at <https://moodle.hu-berlin.de/course/view.php?id=118893> (key: bvp).

## What is this seminar about?

If  $D: \Gamma(E) \rightarrow \Gamma(F)$  is a linear elliptic differential operator of order  $k$  defined over a compact manifold  $X$  without boundary, then it extends to a **Fredholm operator**  $D: H^{s+k}\Gamma(E) \rightarrow H^s\Gamma(F)$  between Sobolev spaces. Moreover, by **elliptic regularity**, if  $\phi$  is a distributional section of  $E$  with  $D\phi \in H^s\Gamma(F)$ , then  $\phi \in H^{s+k}\Gamma(E)$ . As a consequence, the index of  $D$  is independent of  $s$ . It can be determined using the **Atiyah–Singer index theorem**. These facts are crucial for applications, e.g., in Hodge theory and the construction of moduli spaces of pseudo-holomorphic curves and in gauge theory.

If  $X$  has a boundary, then the above fail—unless suitable **boundary conditions** are imposed. The purpose of this seminar is to develop the  $L^2$  theory of boundary value problems for Dirac operators  $D$  in the sense of Gromov and Lawson, and to discuss a few applications. The bulk of the seminar follows the outstanding exposition in [BB12].

## Talks

21.4 **Introduction and overview.** (THOMAS WALPUSKI)

28.4 **Review of  $L^2$  theory of Dirac operators without boundary.** (JACEK)

Introduce Dirac bundles and Dirac operators (with examples). Prove the Weitzenböck formula. Introduce the Sobolev spaces  $H^s$  (with  $s \in \mathbf{R}$ ), e.g, using Fourier analysis. Sketch the proof of the Sobolev embedding theorem and Rellich's theorem. Establish interior elliptic estimates for Dirac operators. Explain why Dirac operators induce Fredholm operators. Sketch the proof of elliptic regularity.

There are plenty of sources for the above material, e.g., [LM89; Gil95; BGV92; Welo8].

- 5.5 **The model operator and the trace theorem.** (GORA)  
 State and prove the trace theorem. Discuss [BB12, §2.1, §4, §5 up to Facts 5.4].
- 12.5 **The restriction and the extension map.** (ALBERTO)  
 Discuss [BB12, §5 after Facts 5.4, §6 up to Lemma 6.3].
- 19.5 The day after Ascension (Himmelfahrt).
- 26.5 **Boundary regularity theory.** (ZHENGXIONG)  
 Discuss [BB12, §6 after Lemma 6.3, the key result is Theorem 6.11, possibly skip the proof of Lemma 6.4].
- 2.6 **Elliptic boundary conditions, I.** (VIKTOR)  
 Discuss [BB12, §7 up to Theorem 7.10].
- 9.6 **Elliptic boundary conditions, II.** (VIKTOR)  
 Discuss [BB12, §7.3 after Theorem 7.10, §7.4].
- 16.6 **(Pseudo-)local boundary conditions and examples.** (GORA/THOMAS)  
 Discuss [BB12, §7.5, §7.6].
- 23.6 **Index theory, I.** (ONIRBAN)  
 Discuss [BB12, §8.1, §8.2, §8.3].
- 30.6 **Index theory, II.** (ONIRBAN)  
 Discuss [BB12, §8.4, §8.5].



- 7.7 **Hodge theory on manifolds with boundary and applications.** (DOMINIK)  
 Discuss the absolute and relative boundary conditions for the Dirac operator  $D = d + d^*$ :  $\Omega(X) \rightarrow \Omega(X)$ . Prove the Hodge theorem for  $H_{\text{dR}}(X)$  and  $H_{\text{dR}}(X, \partial X)$ . Derive Poincaré–Lefschetz duality for de Rham cohomology. Introduce the concept of bordism. Use Poincaré–Lefschetz duality to prove that the bordism invariance of the signature.  
 It is not difficult to do this by using the theory developed above in any of the standard treatments of the Hodge theorem, e.g., in [Welo8]. I’m not aware of a good reference that does this explicitly. [Sch95] does prove the Hodge theorem, but not using the the above theory.
- 14.7 **Spectral flow and the Maslov index.** (JACEK) [RS95] [BF98]
- 21.7 **The BVP theory of general first order operator** (ALBERTO)

## References

- [BB12] Christian Bär and Werner Ballmann. *Boundary value problems for elliptic differential operators of first order. Surveys in differential geometry.* 17. International Press, 2012, pp. 1–78. DOI: 10.4310/SDG.2012.v17.n1.a1. arXiv: 1101.1196. MR: 3076058. Zbl: 1331.58022 (cit. on pp. 1–3)
- [BGV92] Nicole Berline, Ezra Getzler, and Michèle Vergne. *Heat kernels and Dirac operators.* Grundlehren der Mathematischen Wissenschaften 298. Springer-Verlag, 1992. DOI: 10.1007/978-3-642-58088-8. MR: 1215720. Zbl: 0744.58001 (cit. on p. 1)
- [BLZ] Bernhelm Booß-Bavnbek, Matthias Lesch, and Chaofeng Zhu. *The Calderón projection: New definition and applications* (). arXiv: 0803.4160 (cit. on p. 2)
- [BF98] Bernhelm Booss-Bavnbek and Kenro Furutani. *The Maslov index: A functional analytical definition and the spectral flow formula.* English. *Tokyo J. Math.* 21.1 (1998), pp. 1–34. DOI: 10.3836/tjm/1270041982. Zbl: 0932.37063 (cit. on p. 3)
- [BS18] Theo Bühler and Dietmar A. Salamon. *Functional analysis.* Vol. 191. Graduate Studies in Mathematics. American Mathematical Society, 2018. DOI: 10.1090/gsm/191. MR: 3823238 (cit. on p. 2)
- [Gil95] Peter B. Gilkey. *Invariance theory, the heat equation and the Atiyah-Singer index theorem.* English. 2nd ed. Boca Raton, FL: CRC Press, 1995. Zbl: 0856.58001 (cit. on p. 1)
- [LM89] H. Blaine Lawson Jr. and Marie-Louise Michelsohn. *Spin geometry.* Princeton Mathematical Series 38. Princeton University Press, 1989. MR: 1031992. Zbl: 0688.57001 (cit. on p. 1)
- [MS98] Dusa McDuff and Dietmar Salamon. *Introduction to symplectic topology.* Oxford Mathematical Monographs. Oxford University Press, 1998. MR: 1698616. Zbl: 1066.53137 (cit. on p. 2)
- [Mel93] Richard B. Melrose. *The Atiyah–Patodi–Singer index theorem.* Vol. 4. Research Notes in Mathematics. A. K. Peters, 1993. DOI: 10.1016/0377-0257(93)80040-i. MR: 1348401. Zbl: 0796.58050
- [Ped89] Gert K. Pedersen. *Analysis now.* Vol. 118. Graduate Texts in Mathematics. Springer-Verlag, 1989. Zbl: 0668.46002 (cit. on p. 2)
- [RS95] Joel Robbin and Dietmar Salamon. *The spectral flow and the Maslov index.* *Bulletin of the London Mathematical Society* 27.1 (1995), pp. 1–33. DOI: 10.1112/blms/27.1.1. Zbl: 0859.58025 (cit. on p. 3)
- [Sch95] G. Schwarz. *Hodge Decomposition. A Method for Solving Boundary Value Problems.* Lecture Notes in Mathematics 1607. Springer-Verlag, 1995. DOI: 10.1007/BFb0095978. MR: 1367287. Zbl: 0828.58002 (cit. on p. 3)

[Welo8] Raymond O. jun. Wells. *Differential analysis on complex manifolds. With a new appendix by Oscar Garcia-Prada*. Vol. 65. Graduate Texts in Mathematics. Springer-Verlag, 2008. DOI: 10.1007/978-0-387-73892-5. Zbl: 1131.32001 (cit. on pp. 1, 3)