

## Donaldson's proof of Narasimhan - Seshadri theorem

- Holomorphic vector bundles & stability
- Proof the NS theorem modulo lemmas
- proof of the lemmas
- Riemann Hilbert Correspondence.

## Holomorphic vector bundles and Connections

$\Sigma$ : Complex mfd.

$E$   
 $\downarrow$ : Complex vector bundle  
 $\Sigma$

$\Lambda^R(E) := E$ -valued  $R$ -forms

$$\Lambda^{p,q}(E) = \underbrace{\Lambda^{p,q}(\Sigma)}_{(p,q)\text{-forms}} \otimes_{\mathbb{C}} E$$

E.g.  
 $\Sigma = \mathbb{C}^n$   
 $\lambda^{1,0} = \langle dz_i \rangle$   
 $\lambda^{0,1} = \langle d\bar{z}_i \rangle$   
 $\lambda^{p,q} = (\lambda^{1,0})^p (\lambda^{0,1})^q$

$\Omega_{\mathbb{C}}^R(E)$ : Sections of  $\Lambda^R(E) \otimes \mathbb{C}$

$\Omega^{p,q}(E)$ : Sections of  $\Lambda^{p,q}(E)$

$$\Omega_{\mathbb{C}}^R(E) = \bigoplus_{p+q=R} \Omega^{p,q}(E)$$

Given a connection  $A$ , covariant derivative  
 $d_A: \Omega_{\mathbb{C}}^R(E) \longrightarrow \Omega_{\mathbb{C}}^{R+1}(E)$  (extend linearly)

Define,

$$\bar{\partial}_A: \Omega^{p,q}(E) \longrightarrow \Omega^{p,q+1}(E)$$

$$\begin{array}{ccc} \Omega^{p,q}(E) & \xrightarrow{d_A} & \Omega_{\mathbb{C}}^{R+1}(E) \\ & \searrow \bar{\partial}_A & \downarrow \text{proj} \\ & & \Omega^{p,q+1}(E) \end{array}$$

Def:  $E$   
 $\downarrow$   
 $\Sigma$ :  $\mathbb{C}P^X$  vector bundle is said to be holomorphic if  $\exists$  local trivializations  $\varphi_i: E|_{U_i} \rightarrow U_i \times \mathbb{C}^n$  s.t.  $g_{ij} := \varphi_i \circ \varphi_j^{-1}: U_i \cap U_j \rightarrow GL(n, \mathbb{C})$  are holomorphic.

Def: Suppose,  $E$  is holomorphic vector bundle,  
 $\downarrow$   
 $\Sigma$   
 then  $\bar{\partial}: \Omega^0(E) \longrightarrow \Omega^{0,1}(E)$  is defined by  
 $\bar{\partial}_E \varphi := \bar{\partial} \varphi^i \otimes e_i$ ,  $\varphi = \sum \varphi^i e_i$ , on each trivialization  $U_i \times \mathbb{C}^n$

Theorem: A complex vector bundle  $E \rightarrow \Sigma$  is holomorphic iff  $\exists$  a connection  $A$  such that  $\bar{\partial}_A^2 = \bar{\partial}_A \circ \bar{\partial}_A : \Omega^0(E) \rightarrow \Omega^{0,2}(E)$  vanishes i.e.  $F_A^{0,2} = 0$ .

proof: See [Donaldson & Kronheimer], Section 2.2.2.

Note: If  $\Sigma$  : Riemann surface, then  $\Omega^{0,2}(\Sigma) = 0 \Rightarrow \bar{\partial}_A^2 = 0$  always true.

Def: Dolbeault operator on  $E$  is

a  $\mathbb{C}$  linear map

$$\bar{\partial}_E : \Omega^0(E) \rightarrow \Omega^{0,1}(E) \text{ s.t.}$$

$$\bar{\partial}_E(f\varphi) = \bar{\partial}f \otimes \varphi + f \bar{\partial}_E \varphi$$

$$\forall f \in C^\infty(\Sigma, \mathbb{C}) \ \& \ \varphi \in \Gamma(E).$$

$\mathcal{G}^{\mathbb{C}} :=$  gauge transformations of  $E$ .  
i.e.  $\mathbb{C}^{\times}$  bundle isomorphisms

$$\mathcal{G}^{\mathbb{C}} \curvearrowright \text{Dol}_0(E) := \{ \bar{\partial}_E : \bar{\partial}_E^2 = 0 \}$$

$$u \cdot \bar{\partial}_E = u (\bar{\partial}_E u^{-1}).$$

$$\begin{array}{ccc} \text{prop: } \left\{ \begin{array}{l} \text{Holomorphic} \\ \text{structures on} \\ E \end{array} \right\} & \longrightarrow & \frac{\text{Dol}_0(E)}{\mathbb{C}^{\times}} \\ & \sim & \downarrow \\ E & \longmapsto & \bar{\partial}_E \end{array}$$

is bijective.

Def: A Hermitian vector bundle over  $\Sigma$  is a pair  $(E, h)$ , where  $E$  is a  $\mathbb{C}^{\times}$  vector bundle and  $h$  is a hermitian metric on  $E$ .

A connection  $A$  on  $E$  is said to be Unitary connection if it is compatible with  $h = \langle \cdot, \cdot \rangle$  i.e.

$$d \langle \varphi, \psi \rangle = \langle \nabla_A \varphi, \psi \rangle + \langle \varphi, \nabla_A \psi \rangle$$

$\forall \varphi, \psi$ , sections of  $E$ .

$\mathcal{G}^{\mathbb{C}} \curvearrowright \mathcal{A}(E, h) =: \text{unitary connection}$

$$\text{prop: } \left\{ \begin{array}{l} \text{Unitary connections} \\ \text{on } (E, h) \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} \text{Dolbeault} \\ \text{operators} \\ \text{on } E \end{array} \right\}$$

Conclusion:  $\underbrace{\left\{ \begin{array}{l} \text{Holomorphic} \\ \text{strs on } E \end{array} \right\}}_{\sim} \leftrightarrow \underbrace{\left\{ \begin{array}{l} \text{Unitary Connections} \\ \text{on } (E, h) \end{array} \right\}}_{\cong}$

Thm: Let  $(E, h)$  be a hermitian, holomorphic vector bundle. Then  $\exists$  Unique Unitary Connection  $A$  s.t.  $\nabla_A^{0,1} = \bar{\partial}_E$ . (This connection is called Chern connection).

Idea of proof:

- It is enough to prove locally
- Assume  $E = \Sigma \times \mathbb{C}^n$  &  $H: \Sigma \rightarrow M_{\mathbb{C}}(n)$   
 $\nabla_A = d + A$  &  $\lambda \mapsto (H_{ij}(\lambda))$   
 $\downarrow$   
 type (1,0) Hermitian metric.

define,  $A := \bar{H}^{-1} \partial(\bar{H})$ .

i.e.  $\nabla_A = \nabla_A^{1,0} + \bar{\partial}_E$  &  $F_A \quad \square$

Remark: If  $(E, h)$  is a hermitian holomorphic line bundle, then

$$\nabla_A = d + \partial \log h \quad \&$$

$$F_A = d(\partial \log h) + \partial \log h \wedge \partial \log h \rightarrow 0$$

$$\Rightarrow \boxed{F_A = \bar{\partial} \partial \log h} \quad (\text{as } F_A^{0,2} = 0)$$

Assume  $\Sigma$  is a Riemann surface.

$$i^* F_A \in C^\infty(\Sigma, \mathbb{R})$$

$$\begin{aligned} i^* F_A &= \lambda + \Delta f \\ &= \lambda + 2\partial^* \partial f \end{aligned}$$

$$\text{define, } \tilde{h} = e^{-2f} h$$

$$\begin{aligned} F_{\tilde{A}} &= \bar{\partial} \partial \log \tilde{h} \\ &= \bar{\partial} \partial (-2f) + \bar{\partial} \partial \log h \\ &= -2 \bar{\partial} \partial f + F_A \end{aligned}$$

$$\begin{aligned} \Rightarrow i^* F_{\tilde{A}} &= -2 \underline{i^* \bar{\partial} \partial f} + i^* F_A \\ &= -2 \underline{\partial^* \partial f} + i^* F_A \\ &= \lambda \end{aligned}$$

Corollary: Let  $\mathcal{L} \rightarrow \Sigma$  be a holomorphic. Then  $\exists$  a hermitian metric  $h$  & a unitary connection  $A$  s.t.

$$\frac{i}{2\pi} * F_A = \mu \quad \& \quad \bar{\partial}_A = \bar{\partial}_{\mathcal{L}}$$

Note:  $\mu = \int_{\Sigma} c_1(\mathcal{L}) (= \text{deg } \mathcal{L})$

Narasimhan-Seshadri thm:

suppose  $E \rightarrow \Sigma$  is an indecomposable, holomorphic vector bundle, where  $\Sigma$  is a Riemann surface. Then  $E$  is stable  $\iff \exists$  a hermitian metric

and a unitary connection  $A$  s.t.  $\bar{\partial}_A = \bar{\partial}_E$

$$\frac{i}{2\pi} * F_A = \mu(E).$$

Moreover  $A$  is unique upto unitary gauge transformations.

## Stable bundle

$E$   
 $\downarrow$  holo vect. bundle. ,  $\Sigma$ : cpt Riemann Surface  
 $\Sigma$

Indecomposable  $\equiv$  Not a direct sum of  $n$  holo subbundles

Def: slope of  $E$ ,  $\mu(E)$  is defined by

$$\mu(E) = \frac{\text{deg } E}{\text{rank } E}$$

where  $\text{deg } E = \int_{\Sigma} c_1(E)$

Def:  $E$  is called stable (semi stable) if nonzero proper subbundle  $F$  of  $E$ , we have  $\mu(F) < \mu(E) (\leq)$  or equivalently  $\mu(E/F) > \mu(E)$ .

Exercise: if  $0 \rightarrow F \rightarrow E \rightarrow \mathcal{H} \rightarrow 0$  a exact seq of holo bundles, then

$$\mu(F) < \mu(E) \iff \mu(\mathcal{H}) > \mu(E).$$

Hint: deg and rank are additive.

The following follows as  $\mathcal{O}_\Sigma$  is PID.  
Lemma: Let,  $\alpha \neq 0 \in \text{Hom}(\mathcal{E}, \mathcal{K})$ . Then

exists a factorization

$$\begin{array}{ccccccc} 0 & \rightarrow & \mathcal{P} & \rightarrow & \mathcal{E} & \rightarrow & \mathcal{Q} \rightarrow 0 \\ & & & & \downarrow \alpha & & \downarrow \beta \\ 0 & \leftarrow & \mathcal{S} & \leftarrow & \mathcal{K} & \leftarrow & \mathcal{R} \leftarrow 0 \end{array}$$

s.t.  $\text{rank } \beta = \text{rank of } \mathcal{E}$  and  
 $\text{rank } \mathcal{Q} = \text{rank } \mathcal{R}$  and  
 $\text{deg } \mathcal{Q} \leq \text{deg } \mathcal{R}$ .

Harder - Narasimhan filtration:

Any holo vect. bundle  $\mathcal{E} \rightarrow \Sigma$  admits  
a canonical filtration:

$$0 = \mathcal{E}_0 \subset \mathcal{E}_1 \subset \mathcal{E}_2 \subset \dots \subset \mathcal{E}_R = \mathcal{E}$$

s.t.  $\mathcal{H}_i := \frac{\mathcal{E}_i}{\mathcal{E}_{i-1}}$  is semistable  
and  $\mu(\mathcal{H}_{i+1}) < \mu(\mathcal{H}_i) \quad \forall 1 \leq i \leq R$ .

Jordan-Hölder filtration: Any semistable  
bundle  $\mathcal{E}$  has a filtration  
 $0 = \mathcal{E}_0 \subset \mathcal{E}_1 \subset \dots \subset \mathcal{E}_R = \mathcal{E}$  s.t.  $\mathcal{E}_i/\mathcal{E}_{i-1} =: \mathcal{H}_i$   
stable &  $\mu(\mathcal{E}) = \mu(\mathcal{H}_i) \quad \forall i$ .

$M$ :  $n \times n$  Hermitian matrix

Define norms  $\nu(M) := \text{tr} \sqrt{MM^*} = \text{tr} \sqrt{M^2}$   
and  $|M| := \sqrt{\text{tr}(MM^*)}$  (standard!)

Exercises:

(i)  $|M| \leq \nu(M) \leq n|M|$

(ii) If  $M = \begin{pmatrix} A & B \\ B^* & D \end{pmatrix}$ , then

$$\nu(M) \geq |\text{tr } A| + |\text{tr } D|$$

$\mathcal{A}^{1,2} :=$  space of  $W^{1,2}$  unitary connections  
 $\mathcal{G}^{2,2} :=$  group of  $W^{2,2}$  gauge transformations  
i.e. complex automorphisms.

Note:  $\mathcal{A}^{1,2}/\mathcal{G}^{2,2} = \mathcal{A}/\mathcal{G}$ .

$$J(A) := \left\| \nu \left( \frac{i}{2\pi} *F_A - \mu(\mathcal{E}) I \right) \right\|_{L^2(\Sigma)}, \quad A \in \mathcal{A}^{1,2}$$

Lemma: If  $0 \rightarrow \mathcal{M} \rightarrow \mathcal{E} \rightarrow \mathcal{N} \rightarrow 0$  is an  
exact sequence holo bundles and  
 $\mu(\mathcal{M}) \geq \mu(\mathcal{E})$ , then  $\forall A$  unitary conn.

$$J(A) \geq \underbrace{\text{rank } M(\mu(M) - \mu(\varepsilon)) + \text{rank } N(\mu(\varepsilon) - \mu(N))}_{=: J_0}$$

& equality occurs only if the sequence splits.

proof of NS theorem

( $\Leftarrow$ ) Suppose not i.e.

$\exists \tilde{\varepsilon} \subset \varepsilon$  s.t.

$\mu(\tilde{\varepsilon}) > \mu(\varepsilon)$

Then by above lemma,

$$0 = J(A) \geq J_0 \geq 0$$

$\Rightarrow J_0 = 0 \Rightarrow \varepsilon$  is decomposable  
contradiction !!

( $\Rightarrow$ )

(Existence of minimizer)

$$J(A) = \left\| \mathcal{D}\left(\frac{i}{2\pi} * F_A - \mu\right) \right\|_{L^2(\Sigma)}$$

$$J_{\min} := \inf_{A \in \mathcal{P} \subset \mathcal{A}^{1,2}} J(A).$$

Let,  $J(A_i) \rightarrow J_{\min}$  with  $A_i \in \mathcal{P}$

Then  $\|F_{A_i}\|_{L^2}$  is bdd & hence

by Uhlenbeck's <sup>weak</sup> compactness there

exist a subseq upto gauge transformations call it again

$A_i$  s.t.  $A_i \rightarrow A$  weakly

in  $W^{1,2}$ . This implies  $F_{A_i} \rightarrow F_A$  weakly in  $L^2$ .

Lemma: Let,  $f: \mathcal{H} \rightarrow \mathbb{R}$  be a convex function, where  $\mathcal{H}$  is normed vector space. If  $x_i \rightarrow x$  weakly in  $\mathcal{H}$ ,

then  $f(x) \leq \inf_i f(x_i)$ .

Hint: Use Hahn-Banach Separation Theorem for convex sets.

Take  $\mathcal{H} = L^2(\Sigma^2(E))$ .

$$f = \| \mathcal{D}(\cdot - \mu I_E) \|_{L^2}$$

This will be a convex fn.

$$\text{Thus, } J(A) \leq \inf_i J(A_i) = \bar{J}_{\min}.$$

A: a minimizer.

Question:  $A \in \mathcal{P}$ ??

We will take

$$\mathcal{P} = \mathcal{U}\text{-orbit of unitary connection corresponding to } \mathcal{E}. = \mathcal{O}(\mathcal{E})$$

By above  $\exists$  A unitary connection s.t.

$$J(A) \leq \inf_{B \in \mathcal{O}(\mathcal{E})} J(B).$$

Q.  $A \in \mathcal{O}(\mathcal{E})$ ?

Lemma 2:  $\inf_{B \in \mathcal{O}(\mathcal{E})} J(B) \in \mathcal{O}(\mathcal{E})$  or  
 $\exists$  two bundles  $\mathcal{R} \not\cong \mathcal{E}$  of same rank and degree as  $\mathcal{E}$  and  $\text{Hom}(\mathcal{E}, \mathcal{R}) \neq 0$ .

Lemma 3: Suppose  $\mathcal{E}$  is a stable bundle  
 $\mathcal{A}t. 0 \rightarrow \mathcal{R} \rightarrow \mathcal{E} \rightarrow \mathcal{H} \rightarrow 0$  be an exact seq of holomorphic bundles.  
 Assume the theorem is true for lower rank stable bundles. Then there exist a <sup>hermitian metric</sup> unitary connection A s.t.  
 $J(A) < \text{rank } \mathcal{R} (\mu(\mathcal{E}) - \mu(\mathcal{R})) + \text{rank } \mathcal{H} (\mu(\mathcal{H}) - \mu(\mathcal{E}))$   
 $\Downarrow$   
 $\bar{J}_1$

proof of ( $\Rightarrow$ ) of the thm:

claim 1:  $\inf_{B \in \mathcal{O}(\mathcal{E})} J(B)$  is attained in  $\mathcal{O}(\mathcal{E})$

claim 2: If  $A \in \mathcal{P}$  s.t.  $J(A) = \inf_{B \in \mathcal{P}} J(B)$

then  $J(A) = 0$ .

Proof of claim 1: Suppose not,  
then by lemma 2,  $\exists \alpha \neq 0$   
 $\in \text{Hom}(\mathcal{E}, \mathcal{R})$ . Consider its factoriz-  
-ation

$$\begin{array}{ccccccc}
 0 & \rightarrow & \mathcal{P} & \rightarrow & \mathcal{E} & \rightarrow & \mathcal{Q} \rightarrow 0 \\
 & & & & \downarrow \alpha & & \downarrow \beta \\
 0 & \leftarrow & \mathcal{S} & \leftarrow & \mathcal{R} & \leftarrow & \mathcal{Q} \leftarrow 0
 \end{array}$$

s.t.  $\text{rank } \beta = \text{rank of } \mathcal{E}$  and  
 $\text{rank } \mathcal{Q} = \text{rank } \mathcal{R}$  and  
 $\text{deg } \mathcal{Q} \leq \text{deg } \mathcal{R}$ .

Apply lemma 3 to top row

$$\inf_{B \in O(\mathcal{R})} J_{10(\mathcal{E})} < J_1$$

Apply lemma 1 to bottom row.

$$\inf_{B \in O(\mathcal{R})} J \geq J_0$$

But we have seen that  
 $\inf_{B \in O(\mathcal{R})} J \leq \inf J_{10(\mathcal{E})}$  i.e.  
 $J_0 < J_1$ . But it is straight  
 forward to check that  
 $J_1 \leq J_0$ , which is a contradiction.  $\square$

Proof of claim 2:

As,  $\mathcal{E}$  is indecomposable, (Exercise)

$$\text{Ker } d_A^* d_A = \{ \lambda I_{\mathcal{E}} : \lambda \in \mathbb{C} \}$$

Thus  $\exists$  a self-adjoint section  $u \in W^{2,2}$

$$\text{s.t. } d_A^* d_A u = \frac{i}{2\pi} * F_A - \mu I_{\mathcal{E}}$$

(by Hodge theory for  $d_A^* d_A = \Delta_A$ )

Define  $u_t := 1 + tu \in \mathcal{O}^e$  and  $A_t := u_t \cdot A$ .

$$\text{Then } J(A_t) = J(A) (1-t) + O(t^2)$$

(Exercise)



$\Rightarrow J(A_t)$  is minimum when  $t=0$ ,  
 thus  $J(A) = 0$ .

Uniqueness: Suppose the thm is true for  $A$  &  $g \cdot A \in O(\mathcal{E})$ .

$$g = p u \quad p = p^* \\ u \in \mathcal{G}$$

Then the thm is true for  $p \cdot A$ .

As  $p = p^*$ ,  $p$  positive definite.

$F_{p \cdot A} = F_A$  implies (exercise!)

$$\partial_A \bar{\partial}_A p^2 = -(\bar{\partial}_A p^2) p^{-1} \left( (\bar{\partial}_A p^2) p^{-1} \right)^*$$

Taking trace & denote  $\tau = \text{tr}(p^2)$

$$\Rightarrow \Delta \tau \leq 0$$

$$\Rightarrow \tau = 0 \quad (\text{by max principle})$$

$$\Leftrightarrow \bar{\partial}_A p^2 = 0.$$

$\Rightarrow p = \lambda I$  as  $\mathcal{E}$  is indecomposable  
 $\Rightarrow p \cdot A = A \quad \square$ .

PROOF OF THE LEMMAS

Lemma: If  $0 \rightarrow \mathcal{M} \rightarrow \mathcal{E} \rightarrow \mathcal{N} \rightarrow 0$  is an exact sequence of bundles and  $\mu(\mathcal{M}) \geq \mu(\mathcal{E})$ , then

$$J(A) \geq \left( \text{rank } \mathcal{M} (\mu(\mathcal{M}) - \mu(\mathcal{E})) + \text{rank } \mathcal{N} (\mu(\mathcal{E}) - \mu(\mathcal{N})) \right) \quad =: J_0$$

+ uni & equality occurs only if the sequence splits.

proof:  $A = \begin{pmatrix} A_{\mathcal{M}} & \beta \\ -\beta^* & A_{\mathcal{N}} \end{pmatrix}$

$$\Rightarrow F_A = \begin{pmatrix} F_{A_{\mathcal{M}}} - \beta \wedge \beta^* & \Delta \beta \\ -\Delta \beta^* & F_{A_{\mathcal{N}}} - \beta^* \wedge \beta \end{pmatrix}$$

As  $\text{Vol}(\Sigma) = 1$ .

$$J(A) \geq \int_{\Sigma} \left( \frac{i}{2\pi} * F_A - \mu(\Sigma) I_{\Sigma} \right)$$

Hölder's  
ineq

$$\geq \left| \int_{\Sigma} \text{Tr} \left( \frac{i}{2\pi} * F_{A_M} - \mu(\Sigma) I_M \right) - |\beta|^2 \right|$$

$$+ \left| \int_{\Sigma} \text{Tr} \left( \frac{i}{2\pi} * F_{A_N} - \mu(\Sigma) I_N \right) + |\beta|^2 \right|$$

$$= -\text{rank } M \mu(M) + \text{rank } M \mu(\Sigma) \\ + \text{rank } N \mu(N) - \text{rank } N \mu(\Sigma) \\ + 2|\beta|^2$$

$\geq J_0$

equality  $\Rightarrow \beta = 0 \Rightarrow \Sigma$  is decomposable.

⊠

Lemma 2:  $\inf_{A \in \mathcal{O}(\Sigma)} J(A) \in \mathcal{O}(\Sigma)$  or  
 $\exists$  two bundles  $\mathcal{R} \not\cong \Sigma$  of same rank and degree as  $\Sigma$  and  $\text{Hom}(\Sigma, \mathcal{R}) \neq 0$ .

Proof: Pick a minimizing sequence  $A_i \in \mathcal{O}(\Sigma)$  for  $\inf_{A \in \mathcal{O}(\Sigma)} J(A)$ .

$\Rightarrow \|F_{A_i}\|_2$  bounded.

$\Rightarrow A_i \rightharpoonup B$  weakly in  $W^{1,2}$  and  $J(B) \leq \inf_{\mathcal{O}(\Sigma)} J$

It is enough to prove

$\text{Hom}(\Sigma, \Sigma_B) \neq 0$  when

$\Sigma_B$ : holomorphic bundle corresponding to  $B$ .

$\Sigma_B =: \mathcal{R}$  ( $\Sigma$  is the underlying  $C^\infty$  bundle.)

Suppose not

$$\bar{\partial}_{A_0 B} : \mathcal{O}(\text{Hom}(E, E)) \longrightarrow \Omega^1(\text{Hom}(E, E))$$

Claim:  $\text{Ker } \bar{\partial}_{A_0 B} \neq 0$

Suppose not, then

$$\| \bar{\partial}_{A_0 B} \otimes \|_{L^2} \geq \| \otimes \|_{W^{1,2}} \neq \infty$$

$$\geq \| \otimes \|_{L^4}$$

as  $W^{1,2} \hookrightarrow L^4$  compact,

$A_i \rightarrow B$  in  $L^4$ .

$$\| (\bar{\partial}_{A_0 B} - \bar{\partial}_{A_0 A_i}) \otimes \|_{L^2} \stackrel{\text{Hölder}}{\leq} \| A_i - B \|_{L^4} \| \otimes \|_{L^4}$$

$\Rightarrow \text{Ker } \bar{\partial}_{A_0 A_i} = 0$  for large enough  $i$

$$\Rightarrow \text{Hom}(E_{A_0}, E_{A_i}) = 0 \text{ ---}$$

Contradiction as  $A_0, A_i \in \mathcal{O}(E)$ .  $\square$

Lemma 3: Suppose  $E$  is a stable bundle

fit  $0 \rightarrow K \rightarrow E \hookrightarrow H \rightarrow 0$  by an exact seq of holomorphic bundles.

Assume the theorem is true for lower rank stable bundles. Then there

exist a <sup>hermitian metric</sup> unitary connection  $A$  s.t.

$$J(K) < \text{rank } K (\mu(E) - \mu(K)) + \text{rank } H (\mu(H) - \mu(E))$$

proof: Consider Harder-Narasimhan filtration of  $K$

$$0 = F_0 \subset F_1 \subset \dots \subset F_k = K$$

where  $F_i / F_{i-1}$  semistable &  $\mu(F_i / F_{i-1})$

is decreasing in  $i$ .

Then each  $F_i / F_{i-1}$  has a Jordan

Hölder filtration.

$$0 = P_{i0} \subset P_{i1} \subset \dots \subset P_{ie} = F_i / F_{i-1}$$

where  $\frac{P_{ij}}{P_{i,j-1}}$  stable + slopes  
 are equal to  $\mu\left(\frac{\tilde{K}_i}{\tilde{K}_{i-1}}\right)$ .

Fact: For each exact  $0 \rightarrow \mathcal{O} \rightarrow \mathcal{M} \rightarrow \mathcal{N} \rightarrow 0$   
 and a connection orbit  $O(\mathcal{M})$ , we have a  
 family  $A_t \in O(\mathcal{M})$  ( $t \neq 0$ ) and  $A_0 \in O(\mathcal{O} \oplus \mathcal{N})$ .  
 Hint:  $g_t = \begin{pmatrix} tI & 0 \\ 0 & I \end{pmatrix}$ ,  $A_t = g_t \cdot A$ .

By induction, for each  $P_{ij}$  there is  
 true hence there are  
 $A_{ij} \in O(P_{ij})$ . Following this construction  
 carefully we can construct  
 $A_{\mathbb{R}}^t \rightarrow A_{\mathbb{R}}^0 \in O(\oplus P_{ij})$  &  $\frac{i}{2\pi} * F_{A_{\mathbb{R}}^0} = \begin{pmatrix} \mu_{11} & \\ & \mu_{ij} \\ & & \dots \end{pmatrix}$

Similarly for  $\mathcal{H}_t$ ,  $A_{\mathcal{H}_t}^t$ .

To construct  $\beta_t$   $\lambda$ -t.

$$[\beta] = [\beta_t] \in \mathbb{H}^1(\mathcal{H}^* \otimes \mathcal{K})$$

Pick  $\beta_t$  as Harmonic representation  
 of  $\beta$ . Corresponding to  $d_{\mathcal{H}_t}^t A_{\mathbb{R}}^t$ .  
 &  $\|\beta_t\|_{L^2} = 1$ .

Then one can prove that  
 $J(A_{\mathbb{R}}^t, A_{\mathcal{H}_t}^t, \beta_t) \rightarrow J_1$  as  
 $\lambda, t \rightarrow 0$ .

and

$$J(\lambda, t)^2 = \int_{\Sigma} \left( J_1^2 - 2\lambda^2 |\beta_t|^2 + o(t) \right)^2$$

for small  $\lambda, t$  we will have  
 $J(\lambda, t) < J_1$   $\square$ .

(For more details see Donaldson's paper.)  
 Lemma 3

## Riemann Hilbert Correspondence

Theorem:  $\Sigma$ : manifold

$$\left\{ \begin{array}{l} (P, A) : P \text{ is a principal} \\ G\text{-bundle and } A \text{ is} \\ \text{a flat connection} \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} \rho : \pi_1(\Sigma) \rightarrow G \\ \text{reps} \end{array} \right\}$$

$\sim$  (Conjugation)

Sketch of the proof:

( $\Rightarrow$ ) Given  $(P, A)$  as above, define

$$\begin{aligned} \rho : \pi_1(\Sigma) &\rightarrow G \\ \gamma &\mapsto \text{Hol}_\gamma(A) \end{aligned}$$

This is well defined as  $A$  is a flat connection & / Conjugation.

( $\Leftarrow$ ) Given  $\rho$  as above,

define,  $P = \tilde{\Sigma} \times_\rho G$ ,  $\tilde{\Sigma} \rightarrow \Sigma$  Universal cover.

$A$ : induced connection of the trivial connection on  $\tilde{\Sigma} \times G$ .

Examples: (1)  $G = GL(n, \mathbb{C})$

$$\left\{ \begin{array}{l} \text{Complex vector bundles} \\ \text{with flat connections} \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} \text{Reps} \\ \rho : \pi_1(\Sigma) \rightarrow GL(n, \mathbb{C}) \end{array} \right\}$$

(2)  $G = U(n)$

$$\left\{ \begin{array}{l} \text{Hermitian} \\ \text{vector bundle} \\ \text{with flat unitary} \\ \text{connections} \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} \text{Reps} \\ \rho : \pi_1(\Sigma) \rightarrow U(n) \end{array} \right\}$$

(3)  $G = \mathbb{P}U(n) = \frac{U(n)}{U(1)}$

$$\left\{ \begin{array}{l} \mathbb{P}U(n) \text{ bundles} \\ \text{with flat } \mathbb{P}U(n) \\ \text{connections} \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} \text{Reps} \\ \rho : \pi_1(\Sigma) \rightarrow \mathbb{P}U(n) \end{array} \right\}$$

projective  
reps

Exercise:

Given a Hermitian vector bundle  $E$  i.e. a  $U(n)$  bundle, the induced

$\mathbb{P}U(n)$  bundle  $E_{\mathbb{P}}$  has a flat  $\mathbb{P}U(n)$  connection iff  $E$  has a unitary connection  $A$  such that  $F_A = \alpha I_E$  for some 2-form  $\alpha$ .

Hint:  $\text{Lie}(\text{PU}(n)) = \mathfrak{u}(n)_0 =$  trace free skew  
Hermitian  
matrices.

$$\mathfrak{u}(n) \longrightarrow \mathfrak{u}(n)_0$$

$$B \longmapsto B - \frac{\text{tr}(B)}{n} I$$